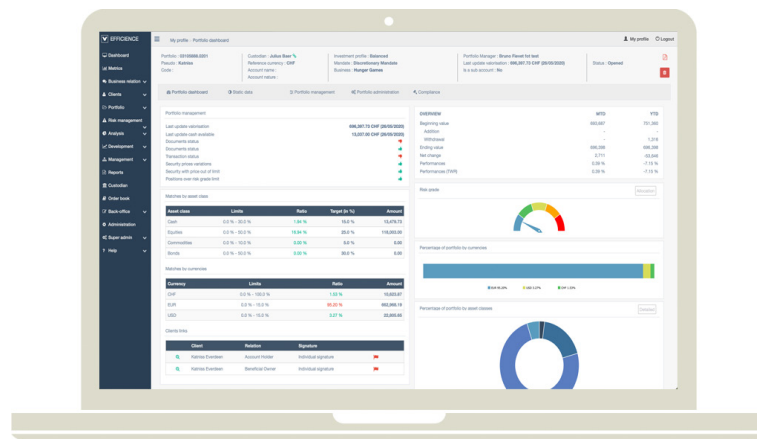


EFFICIENCE - A COMPLETE PMS:

Efficiency is a «Portfolio Management System (PMS)» software that supports Independent Wealth Managers (IWM) and Family Offices through their portfolio management.

Thanks to the implementation of automatic data feeds with more than fifty custodian banks, the software receives daily data of transactions, positions and valuations of the portfolios concerned, allowing optimal monitoring of portfolios.

(the list of data feeds available on Efficiency is in appendix)



PORTFOLIO MANAGEMENT

- Transaction and position follow up
- Performance calculation
- Security analysis
- Consolidated asset management
- Warning on security price variation

COMPLIANCE

- KYC client
- Transactional alerts
- Activity and visit reports
- Tracking and audit on changes brought to client profiles
- Respect of regulatory requirement: FinSA, FinIA, MIFIDD II, AML, AMLA

RISK MANAGEMENT

- Identification and alert on mandate deviations
- Risk management at client level
- Investment risk management
- Transactional risk management

BACK OFFICE

- Client and portfolio report
- Retrocessions
- Billing and expense management
- Placement of single or bulk orders
- Profitability calculation for managers and portfolios

IPAD APPLICATION

- White label application
- Consolidation of financial assets
- View of all portfolio, transactions, asset classes, regions and currencies
- Secure environment

EFFICIENCE - VALUES:



SECURITY

Efficiency has been designed and developed in Switzerland to meet the strict security and confidentiality standards required by our clients. The solution is hosted on a server selected by the client, either in their premises or at a private hosting center. More than 60 independent wealth managers and family offices already use our tool.



TAILOR-MADE

We know that in the wealth management sector, each company has their own organizational and management architecture. Our software is highly flexible, allowing us to offer each client a customized solution, both in terms of functionality and pricing. Thanks to regular developments done - without any additional charges - at the request of users, we are sure that Efficiency meets everyone's needs



ACCESSIBLE & AFFORDABLE

Thanks to a secure url, it is possible to connect to Efficiency through many devices: computer, laptop, tablet. It has never been easier to work remotely and monitor your portfolios. In addition, driven by the concept that price should not be an obstacle to accessing IT tool of quality, Efficiency software practices a competitive pricing policy. The solution is available from CHF 900.- / month excl.



COMPLETE

Eager to always simplify the work of managers, the Efficiency team has developed over the years several partnerships with tools which can be integrated into Efficiency. Thus, it is optionally possible to add functionalities to the tool such as TheScreener (providers of securities data), Polixis (integrated compliance database to perform AML and PEP verifications), Therefore from Canon (electronic document management), or LinkedTrade (structured product traders). In addition, there are back office services proposed by The Key SA or compliance services provided by BDO (Swiss auditor and compliance officer). These options represent real assets to perfect the field of control of the management of your portfolios.

EFFICIENCE - RATE:

Service	Monthly price excl.
Monthly subscription (including 2 users)	CHF 900.-
Additional user	CHF 250.- / add. user
Data feed management	CHF 150.- / data feed connected

Average monthly basket for 2 users and 3 data feeds connected: CHF 1'350.- excl.

DATA FEEDS AVAILABLE ON EFFICIENCE:

Ansbacher	Julius Bär Switzerland
Audi - flux de positions	Kaiser
Atrium	Landolt & Cie
Barclays	LGT
BCGE - Banque Cantonale de Genève	Lombard Odier
BCV - Banque Cantonale Vaudoise	Lombard Odier Gibraltar
BCVs - Banque Cantonale du Valais	Lombard Odier Nassau
BNP	Lombard Odier Singapore
BNP Monaco	MCB - Mauritius Commercial Bank
Bordier & Cie	Millennium Banque Privée
BPS Monaco	Mirabaud Canada
CBH - Compagnie Bancaire Helvétique	Mirabaud Dubaï
CIC Banque	Mirabaud Switzerland
Citibank	Morval
Cornèr Banque	Pictet
Cramer	Piguet Galland & Cie
Crédit Agricole Luxembourg	PKB
Crédit Agricole Switzerland	Quilvest
Crédit Suisse	Quintet
Degroof Petercam	Reyl
Deutsche Bank	Santander
Dreyfus	Schroders
Edmond de Rothschild	Société Générale
Edmond de Rothschild Monaco	Swissquote
EFG	Syz
FAB - First Abu Dhabi Bank	Syz Nassau
Gonet & Cie	Tolomeo
Heritage	Turicum Private Bank
HSBC	UBP
Hyposwiss	UBS
J. Safra Sarasin	Von Graffenried
JP Morgan	Vontobel
Julius Bär Monaco	VP Bank
Julius Bär Nassau	
Julius Bär Singapore	

Development of new data feeds on request, without additional charge.

update: Q4/2020